## ATTACHMENT 1 - CERTIFICATE - DISCLOSURE OF OWNERSHIP/PRINCIPALS

(FOR CONSULTING SERVICES ONLY)

#### 1. Definitions

"City" means the City of Las Vegas.

"City Council" means the governing body of the City of Las Vegas.

"Contracting Entity," means the individual, partnership, or corporation seeking to enter into a contract or agreement with the City of Las Vegas.

"Principal" means, for each type of business organization, the following: (a) sole proprietorship – the owner of the business; (b) corporation – the directors and officers of the corporation; but not any branch managers of offices which are a part of the corporation; (c) partnership – the general partner and limited partners; (d) limited liability company – the managing member as well as all the other members; (e) trust – the trustee and beneficiaries.

### 2. Policy

In accordance with Resolution 79-99 and 105-99 adopted by the City Council, Contracting Entities seeking to enter into certain contracts or agreements with the City of Las Vegas must disclose information regarding ownership interests and principals. Such disclosure generally is required in conjunction with a Request for Proposals (RFP). In other cases, such disclosure must be made prior to the execution of a contract or agreement.

#### 3. Instructions

The disclosure required by the Resolutions referenced above shall be made through the completion and execution of this Certificate. The Contracting Entity shall complete Block 1, Block 2, and Block 3. The Contracting entity shall complete either Block 4 or its alternate in Block 5. Specific information, which must be provided, is highlighted. An Officer or other official authorized to contractually bind the Contracting Entity shall sign and date the Certificate, and such signing shall be notarized.

#### 4. Incorporation

This Certificate shall be incorporated into the resulting contract or agreement, if any, between the City and the Contracting entity. Upon execution of such contract or agreement, the Contracting Entity is under a continuing obligation to notify the City in writing of any material changes to the information in this Certificate. This notification shall be made within fifteen (15) days of the change. Failure to notify the City of any material change may result, at the option of the City, in a default termination (in whole or in part) of the contract or agreement, and/or a withholding of payments due the Contracting Entity.

Block 1 Contracting Entity	Block 2 <u>Description</u> Subject Matter of Contract/Agreement			
Name Ball Janik, LLP	Legislative and Governmental Relations Consulting			
1455 FSt. NW WAC 20005				
Telephone 262-638-3307				
EIN or DUNS 93-0814349	Contract No. 040085-LR			
Block 3 Type of Business				
☐ Individual 🖼 Partnership ☐ Limited Liability Company ☐ Corporation ☐ Trust ☐ Other:				

# CERTIFICATE - DISCLOSURE OF OWNERSHIP/PRINCIPALS (CONTINUED)

Block 4 Disciosure of Ownership and Principals

pers	e space below, the Contracting Entity rons or entities holding more than one-p	nust disclose all principals (including part percent (1%) ownership interest in the Co	ners) of the Contracting Entity, as well as intracting Entity.	
	FULL NAME/TITLE	BUSINESS ADDRESS	BUSINESS PHONE	
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				
If the	ship interest) under federal law (su	or partners, are required to provide dis	closure (of persons or entities holding ar urities and Exchange Commission or the to this Certificate in lieu of providing the nust be included below.	
Name of Attached Document: 11-24-09 Partner hist				
Date of Attached Document: 11-24-09 Number of Pages: One				
I certify	fy under penalty of perjury, that all the that I am an individual authorized to	contractually bind the above named Cont	ia Crown	
		November	ame 24,2009	
Subse	cribed and sworn to before me this	alth day of		
Ng	ventoer, 2009			
7	Notary Public	are in collected and the collected and accompany and accompany of Assertine		

PAMELA J. SMITH
District of Columbia
My Commission Expires Page 15 of 15
January 14, 2011

## **BALL JANIK LLP**

# PARTNER LIST November 24, 2009

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